

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning documents *will not* correct images,  
please do not report the images to the  
Image Problem Mailbox.**

5C  
JCWSCS 15 MAR 2004

Sent by: CONLEY ROSE, P.C.

713 238 8008;

03/12/04 14:48; JetFax #754; Page 1/4

## FAX TRANSMITTAL COVER SHEET

**CONLEY ROSE, P.C.**  
**600 Travis, Suite 7100**  
**Houston, Texas 77002**  
Fax Number: (713) 238-8008  
Telephone Number: (713) 238-8000

ORIGINAL WILL FOLLOW VIA:

- |                                     |                       |
|-------------------------------------|-----------------------|
| <input type="checkbox"/>            | MAIL                  |
| <input type="checkbox"/>            | INTERNATIONAL AIRMAIL |
| <input type="checkbox"/>            | COURIER               |
| <input checked="" type="checkbox"/> | WILL NOT FOLLOW       |
| <input type="checkbox"/>            | HAND DELIVERY         |
| <input type="checkbox"/>            | WITH ENCLOSURE(S)     |
| <input type="checkbox"/>            | WITHOUT ENCLOSURE(S)  |

**PLEASE DELIVER THE FOLLOWING PAGES IMMEDIATELY TO:**

**NAME: OFFICE OF INITIAL PATENT EXAMINATION**

**FIRM: U.S. PATENT & TRADEMARK OFFICE**

**CITY: ALEXANDRIA, VIRGINIA**

**FAX NO: (703) 746-9195**

**REMARKS: Serial No. 10/695,363, filed 10/28/2003**  
**Attached hereto is a Request for Corrected Filing Receipt for filing with**  
**the U.S. Patent and Trademark Office. Please acknowledge receipt of**  
**this facsimile.**

**Total Number of Pages (Including This One): FOUR (4)**

**FROM: Jonathan M. Harris, Direct Dial No. 713/238-8045**

**DATE: March 12, 2004**

**CLIENT/MATTER NO. 2162-02600 (200205662-1)**

**IF YOU DO NOT RECEIVE ALL THE PAGES,**  
**PLEASE CALL BACK AS SOON AS POSSIBLE.**

*This facsimile, and the information it contains, is intended to be a confidential communication only to the person or entity to whom it is addressed. If you have received this facsimile in error, please notify us by telephone at the above telephone number and return the original to this office by mail.*

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:	Stewart R. WYATT et al.	§	Confirmation No.:	5968
Serial No.:	10/695,363	§	Group Art Unit:	2186
Filed:	10/28/2003	§	Examiner:	Unknown
For:	A System Having A Storage Controller That Modifies Operation Of A Storage System Based On The Status Of A Data Transfer	§ § § § § §	Docket No.:	200205662-1

**REQUEST FOR CORRECTED FILING RECEIPT**

Office of Initial Patent Examination  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

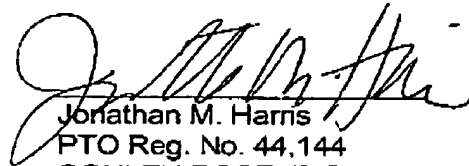
Date: March 12, 2004

Sir:

Attached is a copy of the official Filing Receipt received from the U.S. Patent and Trademark Office ("PTO") for the above-identified patent application for which issuance of a corrected Filing Receipt is respectfully requested. Please correct the spelling of second inventor's first name from "Andr W." to --Andrew--. A marked-up copy of the Filing Receipt is enclosed. The second inventor's name is: Andrew M. Spencer.

The correction requested is not due to any error by Applicants and no fee is due.

Respectfully submitted,



Jonathan M. Harris  
PTO Reg. No. 44,144  
CONLEY ROSE, P.C.  
(713) 238-8000 (Phone)  
(713) 238-8008 (Fax)  
ATTORNEY FOR APPLICANTS

HEWLETT-PACKARD COMPANY  
Intellectual Property Administration  
Legal Dept., M/S 35  
P.O. Box 272400  
Fort Collins, CO 80527-2400



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/695,363	10/28/2003	2186	842	200205662-1	3	24	3

HEWLETT-PACKARD COMPANY  
Intellectual Property Administration  
P.O. Box 272400  
Fort Collins, CO 80527-2400

**RECEIVED**

FEB 03 2004

HP LEGAL  
IPA

CONFIRMATION NO. 5968

FILING RECEIPT



\*OC000000011772464\*

Date Mailed: 01/29/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Andrew

Stewart R. Wyatt, Boise, ID;  
Andr W. M. Spencer, Eagle, ID;  
Robert G. Mejia, Boise, ID;  
Connie K. Lemus, Boise, ID;  
Kenneth J. Eldredge, Boise, ID;  
Cyrille de Brebisson, Boise, ID;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted: 01/27/2004

Projected Publication Date: 04/28/2005

Non-Publication Request: No

Early Publication Request: No

## Title

System having a storage controller that modifies operation of a storage system based on the

status of a data transfer

**Preliminary Class**

711

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).